

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(d)

(Do NOT use for PCT Applications)

For Design or Utility Applications

Page 1 of 2

Rule 53(d) COMPLETION



Attn: Application Division

In re PATENT APPLICATION

Inventor(s): MATSUI, et al

Appln. No.: 07 / 308,282  
series code + + serial no.

(Our Deposit Account NO. 03-3975

(Our Order No. 5683 / 70177

C# / M#

Filed: February 9, 1989

Atty. Dkt. 70177 / E-99-89  
M# / Client Ref.

Title: Type α Platelet-Derived Growth Factor Receptor Gene

Date: April 10, 1989

(April 9 = Sunday)

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts (copy attached), the following completes the filing under Rule 53(d) of the above-identified patent application:

1. [ ] Signed Declaration per Rule 53(d) attached.
2. [xx] Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
3. [ ] The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
4. [ ] Specification originally filed in non-English language; hence verified translation attached of:
  - a. [ ] Abstract
  - b. [ ] pgs of Specification (only spec. & claims)
  - c. [ ] Drawing Figs \_\_\_\_\_
5. [ ] sheet(s) of formal drawing attached: of size: [ ] A4 [ ] 13" [ ] 14"
6. [x] Attached is an assignment to The Government of the United States of America
7. [ ] Priority is claimed under 35 U.S.C. 119/365 based on filing in (country) \_\_\_\_\_

<u>Application No.</u>	<u>Filing Date</u>	<u>Application No.</u>	<u>Filing Date</u>
(1) _____	_____	(4) _____	_____
(2) _____	_____	(5) _____	_____
(3) _____	_____	(6) _____	_____
8. \_\_\_\_\_ (No.) Certified copy/copies [ ] attached; [ ] previously filed (date) \_\_\_\_\_
9. \_\_\_\_\_ in U.S. Application, 0 / \_\_\_\_\_, filed \_\_\_\_\_  
series code + + serial no.
10. [ ] Attached: (No.) Statement(s) establishing "small entity" status under Rules 9 & 27.
11. [x] Attached: Preliminary Amendment

12. [ ] Preliminary amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

13. Basic Filing Fee (enter \$340.00 unless Design Appln. in which case enter \$140.00) - - - \$340.00

14. Total Effective Claims 13 minus 20 = \* 0 x \$12.00 = - - - + 0

15. Independent Claims 4 minus 3 = \* 1 x \$34.00 = - - + 34.00  
\*If answer is less than zero, enter "0"

16. If any proper (ignore improper) multiple dependent claim is present, - - - - add \$110.00 + \_\_\_\_\_

17. **FILING FEE** \$ 374.00

18. Original due date: April 9, 1989

19. Petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$56; 2 months \$170; 3 months \$390; 4 months \$610): + \_\_\_\_\_

20. Surcharge for filing Declaration/filing fee late - - - - - + 110.00

21. **Subtotal** \$ 484.00

22. If "small entity" status box 10 is X'd, enter half (1/2) of subtotal - - - - - and subtract - \_\_\_\_\_

23. **TOTAL** \$ 484.00

24. If "non-English" box 4 is X'd, add Rule 17(k) processing fee (\$26.00) - - - - - + \_\_\_\_\_

25. If "assignment" box 6 is X'd, add recording fee (\$7.00) - - - - - + 7.00

26. [ ] Attached is a Rule 47 Petition and Petition fee (add \$140.00 per Rule 17(h)) - - - +  
**TOTAL FEE ATTACHED** \$ 491.00

28. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

1615 L Street, N.W.

Eleventh Floor

Washington, D.C. 20036-5601

Tel: 861-3000

Atty/Sec: WTS:PCK:mek

CUSHMAN, DARBY & CUSHMAN

By Atty: Watson T. Scott

Sig: 

Reg. No. 26,581

Tel.: 861- 3000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER TRADE DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

07/308,282 02/09/89

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T 70177E9989

[REDACTED]  
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WASHINGTON, DC 20036-5601

#3  
000  
03/01/89

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION—  
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a  
 large entity,  small entity (verified statement filed), is \$ 55.00.

1.  The statutory basic filing fee is:  missing,  insufficient. Applicant as a  large entity,  small entity, must submit \$ 34.00 to complete the basic filing fee and MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.
2.  Additional claim fees of \$ 102 as a  large entity,  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. NO SURCHARGE IS REQUIRED FOR THIS ITEM.
3.  The oath or declaration:
  - is missing.
  - does not cover items omitted at the time of execution.An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
4.  The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63 identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
5.  The signature to the oath or declaration is:  missing;  a reproduction;  by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
6.  The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. Applicant(s) should provide, if possible an oath or declaration signed by the omitted inventor(s), identifying this application by the above Serial Number and Filing Date. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
7.  The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$26.00 under 37 CFR 1.17(k), unless this fee has already been paid NO SURCHARGE UNDER 37 CFR 1.16(e) IS REQUIRED FOR THIS ITEM.
8.  A \$20.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9.  Your filing receipt was mailed in error because check was returned.
10.  Other:

A Serial Number and Filing Date have been assigned to this application. However, to avoid abandonment under 37 CFR 1.53(d), the missing parts and fees identified above in items 1 and 3-6 must be timely provided ALONG WITH THE PAYMENT OF A SURCHARGE OF \$110.00 for large entities or \$55.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application, WHICHEVER IS LATER, within which to file all missing parts and pay any fees. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned: Attention:  
Application Branch

**A copy of this notice MUST be returned with response**

100 04/12/89 308282  
For: Manager, Application Branch  
(703) 667-3224 04/12/89 308282

FORM PTO-159 (REV. 7-87) COPY TO BE RETURNED WITH RESPONSE

1 101 340.00 CK  
1 102 For Office Use Only  
1 105 55.00 CK  
1 106 103 202  
1 107 203  
1 108 204  
1 109 205

BEST AVAILABLE COPY